



Attorney's Docket No.: 080398.P402

Patent

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
 )  
Christopher M. Tobin et al. )  
 )  
Application No.: 09/932,693 )  
 )  
Filed: August 16, 2001 )  
 )  
For: MONITORING AND MANAGING DELIVERY )  
 OF SHIPPED ITEMS )

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
(FILING DATE GRANTED)

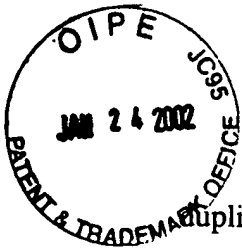
Sir:

In response to the Notice to File Missing parts of Application (Filing Date  
Granted) mailed September 19, 2001, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the  
above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R.  
§ 1.16(e);
- (3) a copy of the Notice to File Missing Parts of Application;

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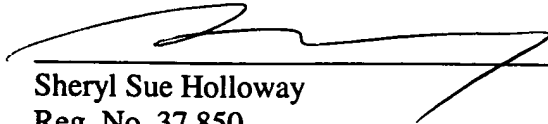
(LJV/cak 10/22/96)



If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: Nov. 15, 2001   
Sheryl Sue Holloway  
Reg. No. 37,850

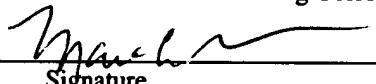
12400 Wilshire Blvd.  
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Los Angeles, CA 90025-1026  
(408) 720-8598

**FIRST CLASS CERTIFICATE OF MAILING**

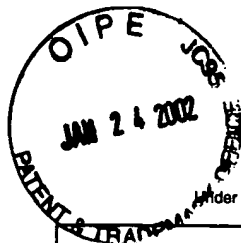
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on 11/15/01  
Date of Deposit

Mara E. Brown  
Name of Person Mailing Correspondence

 11/15/01  
Signature Date

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**FEE TRANSMITTAL FOR FY 2002****TOTAL AMOUNT OF PAYMENT (\$)** 130.00**Complete if Known:**Application No. 09/932,693Filing Date 08/16/2001First Named Inventor Tobin et al.Group Art Unit 2165Examiner Name Not yet assignedAttorney Docket No. 080398.P402**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name \_\_\_\_\_

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed: ☒ Check  
\_\_\_\_\_ Money Order  
\_\_\_\_\_ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
101	740	201	370	Utility application filing fee	_____
106	330	206	165	Design application filing fee	_____
107	510	207	255	Plant filing fee	_____
108	740	208	370	Reissue filing fee	_____
114	160	214	80	Provisional application filing fee	_____

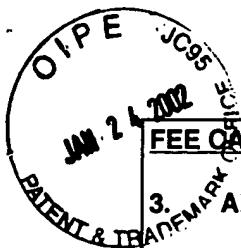
**SUBTOTAL (1) \$** 0**2. EXTRA CLAIM FEES**

		Extra Claims	Fee from below	Fee Paid
Total Claims	_____	- 20** = _____	X _____	= _____
Independent Claims	_____	- 3** = _____	X _____	= _____
Multiple Dependent	_____		_____	= _____

**\*\*Or number previously paid, if greater; For Reissues, see below.**

Large Entity		Small Entity		Fee Description
Code	Fee (\$)	Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$** 0COPY OF PAPERS  
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## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
099	8,800	099	8,800	Request for inter parties reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	For filing a submission after final rejection (see 37 CFR 1.129(a))	
148	110	248	55	Statutory Disclaimer	
149	740	249	370	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
195	300	195	300	Publication fee for early, voluntary, or normal pub.	
196	300	196	300	Publication fee for republication	
194	130	194	130	Request for voluntary publication or republication	
098	130	098	130	Processing fee under 37 CFR 1.17(l) (except provisionals)	
091	1,280	091	1,280	Acceptance of unintentionally delayed claim for priority	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

SUBTOTAL (3) \$ 130.00

\*Reduced by Basic Filing Fee Paid

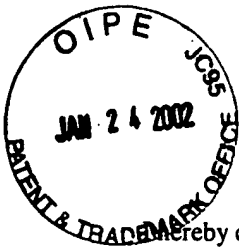
## SUBMITTED BY:

Typed or Printed Name: Sheryl Sue Holloway

Signature: \_\_\_\_\_ Dat : NOV. 15, 2001

R g. Number: 37,850 Telephone Number: 408-720-8300

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on November 15, 2001

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Name of Person Mailing Correspondence

Mara E. Brown  
Signature

11/15/01  
Date

Serial/Patent No.: 09/932,693 Filing/Issue Date: 08/16/01  
Client: Sony Electronics, Inc.  
Title: MONITORING AND MANAGING DELIVERY OF SHIPPED ITEMS

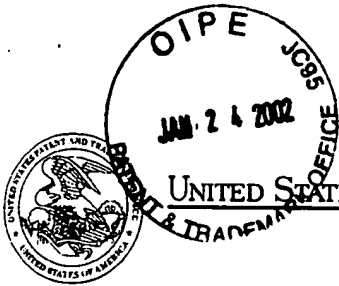
BSTZ File No.: 080398.P402 Atty/Secty Initials: MES/SSH/mzb  
Date Mailed: 11/15/01 Docket Due Date: 11/19/01

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- |  |   |
|--|---|
| <input type="checkbox"/> Amendment/Response (____ pgs.)                                      | <input type="checkbox"/> Express Mail No.: _____ <input checked="" type="checkbox"/> Check No. <u>46499</u>               |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)                            | <input type="checkbox"/> _____ Month(s) Extension of Time Amt: <u>\$130.00</u>  |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)          | <input type="checkbox"/> Information Disclosure Statement & PTO-1449 (____ pgs.) <input type="checkbox"/> Check No. _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)                 | <input type="checkbox"/> Issue Fee Transmittal Amt: _____   |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)                   | <input type="checkbox"/> Notice of Appeal   |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)                          | <input type="checkbox"/> Petition for Extension of Time   |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)              | <input type="checkbox"/> Petition for _____   |
| <input type="checkbox"/> Application - Design (____ pgs.)                                    | <input checked="" type="checkbox"/> Postcard  |
| <input type="checkbox"/> Application - PCT (____ pgs.)                                       | <input type="checkbox"/> Power of Attorney (____ pgs.)  |
| <input type="checkbox"/> Application - Provisional (____ pgs.)                               | <input type="checkbox"/> Preliminary Amendment (____ pgs.)  |
| <input type="checkbox"/> Assignment and Cover Sheet  | <input type="checkbox"/> Reply Brief (____ pgs.)  |
| <input checked="" type="checkbox"/> Certificate of Mailing                                   | <input checked="" type="checkbox"/> Response to Notice of Missing Parts   |
| <input checked="" type="checkbox"/> Declaration & POA (____ pgs.)                            | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business                                      |
| <input type="checkbox"/> Disclosure Docs & Orig & Copy of Invention Signed Later (____ pgs.) | <input type="checkbox"/> Transmittal Letter, in duplicate   |
| <input type="checkbox"/> Drawings: _____ # of sheets includes _____ figures                  | <input checked="" type="checkbox"/> Fee Transmittal, in duplicate   |

☒ Other: Notice to File Missing Parts Copy.

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/932,693	08/16/2001	Christopher M. Tobin	080398.P402

008791  
BLAKELY SOKOLOFF TAYLOR & ZAFMAN  
12400 WILSHIRE BOULEVARD, SEVENTH FLOOR  
LOS ANGELES, CA 90025

CONFIRMATION NO. 5745

## FORMALITIES LETTER



\*OC000000006578278\*

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Date Mailed: 09/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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